

METHOD AND APPARATUS FOR TWO-WAY INTERNET ACCESS OVER A CATV NETWORK WITH  
CHANNEL TRACKING

Field of the invention

The present invention relates to a system for providing Internet access over  
CATV networks.

Background of the invention

Internet access over CATV systems is typically accomplished using a cable  
modem. As an industry standard, the Data Over Cable Interface Specification  
(DOCIS) has emerged to provide interoperability between cable modems from  
diverse manufacturers. Internet access by cable modem provides generally  
higher download data rates than dial up modem access over POTS (Plain Old  
Telephone Service), ISDN (Integrated Services Digital Network) or DSL  
(Digital Subscriber Line) services. A typical cable modem is frequency agile  
and utilizes the unused portions of the CATV frequency spectrum to transmit  
signals in the upstream and downstream directions. While cable modems are  
often stand alone boxes, some CATV settop boxes are provided with a built-in  
cable modem.

In the downstream direction, (from the headend to the subscriber) cable  
modems make use of unused frequencies in the available frequency spectrum  
above 50 MHz. Most of the spectrum above 50 MHz is used for the delivery of  
video programs. A typical CATV system may have spectrum usable for data  
above the upper limit usable for video (say above 500 MHz for many systems  
or above 750-1000 MHz for newer systems). Also, a CATV system has an unused  
mid-band frequency region between 70 MHz and 130 MHz that does not contain  
video channels. The downstream data capacity of a CATV system is thus

confined to the 70-130 MHz band and the band above the highest video channel. While an analog video signal may carry some digital data (such as data in the vertical blanking interval), the data carrying capacity of a standard analog format TV signal is severely limited. Although any video channel could be pre-empted and used to transmit downstream data, CATV operators are reluctant to convert a video channel to an all data channel because it reduces the video capacity of the CATV system.

The return path (the upstream direction from the subscriber to the headend) of a CATV system is the frequency band below 50 MHz. Typically, only a portion of the upstream return spectrum, from 5 to 30MHz, is used, leaving a guard band of 20 MHz between the highest upstream frequency (30 MHz) and lowest downstream frequency (50 MHz). Unusable frequencies below 5 MHz are excluded. The non-video frequencies (in this case, 5-30 MHz, 70-130 Mhz and those above the highest video signal) are referred to herein as the out-of-band (OOB) frequencies. The video channels are organized as 6 MHz frequency bands, each carrying one NTSC analog video signal.

A cable modem may thus operate on any available pair of frequencies, one downstream out-of-band frequency and one upstream out-of-band frequency. The subscriber (viewer) may use the resulting two-way communication over the CATV system solely for Internet access via cable modem. However, TV video content and Internet content may be linked or related to each other. For example, a video sports event may access the Internet for sports statistics related to the video sports event. A TV advertisement may reference a related Web site, or accept direct orders for merchandise over the Internet. However, if the subscriber desires to access the Internet via a cable modem and also receive cable TV video at the same time, then two tuners are required: one for tuning a video signal an another for tuning the cable modem signal.

CATV systems typically transmit digital video in MPEG-2 format, which makes better use of the available CATV spectrum as compared to analog signals. A 6 MHz video channel, which normally carries one standard definition analog channel, may carry at least 4 multiplexed digital video programs or at least 1 high definition digital video program. In addition to expanded video capacity, digital multiplexed MPEG-2 video standards include capacity for carrying Internet Protocol data (IP over MPEG). The private data portions of multiplexed MPEG-2 video may carry Internet Protocol (IP) over the same channel with simultaneous multiplexed MPEG-2 digital TV signals. In such manner, the in-band frequencies (the video channels) carry downstream Internet data along with cable TV digital video.

However, the viewer may be receiving IP over MPEG on one 6 MHz video channel and desire to watch a digital TV program that is multiplexed on another 6 MHz video channel. Therefore, two tuners and two digital MPEG decoders are needed if the subscriber is to be able to simultaneously receive both IP over MPEG Internet data on any digital video channel and view a digital video program from another MPEG encoded 6 MHz video channel. It would be desirable to utilize the downstream data carrying capacity of the in-band CATV spectrum to simultaneously receive both IP over MPEG Internet data and MPEG encoded digital video using a single tuner/digital MPEG decoder in the CATV settop box.

#### Summary of the invention

The present invention is embodied in an Internet Protocol over MPEG-2 video system with channel tracking to route the viewer's Internet connection from one digital TV channel to another. The viewer's the Internet connection is dynamically routed so as to track the channel changes made by the viewer.

In addition, if there is no available data carrying capacity in the 6 MHz

video channel selected by the viewer (a "busy" condition), the downstream in-band Internet connection is routed to a downstream out-of-band frequency. Upon subsequent channel changes by the viewer to a 6 MHz video channel that is not "busy," the viewer's Internet connection is re-routed to an in-band IP over MPEG data packet in the same 6 MHz as the viewer's selected digital video channel. In such manner, the Internet connection tracks the channel changes made by the viewer permitting the use of a single tuner/digital decoder in the CATV settop box.

#### Brief description of the drawings

Figure 1 is a diagram illustrating the spectrum allocation in a CATV system in accordance with the present invention.

Figure 2 is a block diagram of a prior art IP over MPEG system.

Figure 3 is a block diagram of a CATV system with a master headend 301 and multiple remote headends using an IP over MPEG system with channel tracking in accordance with the present invention.

Figure 4 is a block diagram of a CATV system using an IP over MPEG system with channel tracking in accordance with the present invention.

Figure 5 is a signal flow diagram illustrating the message protocol to implement channel tracking in accordance with present invention.

Figure 6A illustrates the signal flow for making Channel Resource Request upon channel change in accordance with present invention.

Figure 6B illustrates the signal flow for receiving the Channel Resource Confirmation message upon channel change in accordance with present invention.

Figure 6C illustrates the signal flow for receiving a digital TV program with Internet access by IP packets carried over MPEG multiplexed video.

Figure 6D illustrates the signal flow for receiving a digital TV program with Internet access by IP packets carried in the out-of-band downstream signal path.

Figure 7 is a block diagram illustrating the format of an IP Datagram and routing table for implementing channel tracking in accordance with present invention.

#### Detailed description

The frequency allocation for a typical CATV system is illustrated in figure 1. The upstream out-of-band spectrum ranges from 5 MHz to 30 MHz. There is a guard band from 30 MHz to 50 MHz. Regular 6 MHz video channels begin above 50 MHz. From 70 MHz to 130 MHz there is a mid-band region that does not normally contain video program information and is used for out-of-band downstream data. Regular 6 MHz spaced video channels begin again above 130 MHz and continue up to the highest frequency limit of the CATV system.

For example, frequency f1 is an upstream out-of-band carrier signal in the return spectrum. Frequency f2, f7 (mid-band) and f6 (out-of-band above video) are downstream out-of-band carrier signals. Frequency f3, f4 and f5 represent the center carriers of three 6 MHz video channels, corresponding to digital video channel A, digital video channel B and digital video channel C, respectively. Each of the digital video channels A, B, and C, contain respective IP packets over MPEG digital video. In particular, video channel A contains MPEG data packet A, video channel B contains MPEG data packet B while video channel C contains MPEG data packet C.

#### CHANNEL TRACKING

The operation of the present invention to implement channel tracking is illustrated by the frequency transitions (dashed line arrows) in figure 1.

In particular, assume that a viewer is watching video channel A and receiving Internet access via MPEG data packet A. The tuner/MPEG decoder is receiving data and digital video on frequency f3. When the viewer changes video channels from video channel A to video channel C, the tuner/MPEG decoder in the settop box tunes to frequency f5, the carrier frequency of video channel C. At this point, the settop box (having a single tuner/MPEG decoder) can no longer receive MPEG data on frequency f3. In accordance with the channel tracking features of the present invention, the Internet access connection for the viewer will be transferred to MPEG data packet C on video channel C. In such manner, the Internet connection is not lost upon changing channels.

When the viewer subsequently changes channels from video channel C to video channel B, the tuner/MPEG decoder in the settop box tunes to frequency f4, the center frequency of video channel B. At this point, the settop box can no longer receive frequency f4.

#### BUSY CONDITIONS

However, assume that other subscribers are utilizing all of the IP data carrying capacity of video channel B on frequency f4. Since all of capacity for carrying IP data packets is occupied, MPEG data packet B is considered full. A condition in which there is no available IP data carrying capacity in a given 6 MHz video channel is called a "busy" condition. In response to a busy condition, the viewer's Internet connection is routed to an out-of-band frequency f7. The transitions representing re-routing of the viewer's Internet access connection is shown by dashed arrows between carrier frequencies f3 and f4 (tracking a channel change from channel A to channel C), and carrier frequencies f4 and f7 (tracking a channel change from channel C to channel B).

A block diagram of a standard IP over MPEG Internet access system is shown in figure 2. The downstream channel is standard IP over MPEG, while the return channel is via a standard out-of-band cable modem upstream channel.

At the headend 210, a proxy server 214 comprises an HTTP interface 214A to the Internet 202 and a TCP/IP stack 214B. The downstream IP packets 216 are formatted in MPEG encoder 230 where the MPEG table 226, and the MPEG transport module 228 format the MPEG data stream to an in-band transmitter 232 on the physical in-band data path 236.

At the settop box 212, an in-band receiver 246, an MPEG transport module 244 and an MPEG table 242 recover the IP data packet for TCP/IP stack 240 and HTTP interface 238. The specific application is displayed on the TV 237. IP packets for the return channel are routed through an out-of-band media access controller 248 and an out-of-band transmitter 250. The out-of-band return path 234 is received at the headend 210 by an out-of-band receiver 222 and an out-of-band media access controller 220. The return packets 218 are forwarded to the TCP/IP protocol stack 214B and HTTP interface 214A for transmission on the Internet 202.

The system shown in figure 2 does not include a provision for channel tracking. If the viewer changes the tuner frequency of the in-band receiver 246 in order to view a different multiplexed digital video program on a different 6 MHz video channel, the Internet connection (via IP over MPEG data packet) on the present channel will be lost.

A CATV system with multiple remote headends in accordance with the present invention that uses IP over MPEG for Internet access with channel tracking is shown in figure 3. At the master headend 301, a proxy server 304 is coupled via a local area network 306 to the Internet 302 and a master router 308. Under the control of an application manager CPU 312, the access control CPU 314 (both of which are coupled to the master router 308 via a

local area network bus 310) formats IP over MPEG digital video 316. The master headend 301 is coupled to a plurality of remote headends 320, 326 via a SDH/ATM network 318.

Each of remote headends 320, 326 includes a local router 322, 328, and one or more hybrid fiber coax (HFC) network interfaces 324, 330, 332 coupled to respective hybrid fiber coax distribution systems 334, 336, 338. A plurality of settop boxes 344, 350, 356 at each subscriber location 340, 346, 352 (which may include respective PC's 342, 348, 354) is coupled to each HFC distribution system 334, 336, 338. The digital video multiplexer 316 at the master headend 301 provides common transport 360 to each HFC distribution system 334, 336, 338 and local transport 362 to an individual HFC system 338.

A block diagram of a CATV system using IP over MPEG with channel tracking is shown in further detail in figure 4. A proxy server 402 and router 404 provide access to the Internet and are coupled via a local area network 406, 408 to an access control CPU 414. An application manager CPU 412 is also coupled via a local area network bus to the access control CPU 414, which in turn controls MPEG-2 multiplexers 416. The access control 414 is further composed of a channel resource manager 411 and an IP gateway 413. Each 6 MHz video channel, (e.g. video channel A, video channel B, and video channel C) is multiplexed 420 onto the two-way broadband network 422 for transmission to each individual settop box 424. Return signals from each settop box 424 reach the headend via an out-of-band channel transceiver 425.

The signal flow between system elements of figures 3 and 4 is illustrated in figure 5. The system elements sending and receiving messages are the Internet 502, a proxy server 504, a channel resource manager 511, IP gateway 513, MPEG multiplexer 516, an out-of-band controller 525 and the settop box 524.



## INITIALIZATION

Initially, the settop box 524 forwards a Bootp request 530 to the out-of-band controller 525, which responds with a Bootp confirm. After boot-up, a communication session is established in which downstream IP data is transmitted as IP over MPEG IB (in-band) and upstream IP data is transmitted as IP OOB (out-of-band).

## CHANNEL CHANGES

In response to a channel change by the viewer, the settop 524 sends a channel change request 533 to the out-of-band controller 525 at the headend, which forwards 534 the out-of-band channel change request to the channel resource manager 511. The format of a channel change request is shown in table 1. The channel resource manager 511 determines whether the requested channel (6 MHz multiplexed MPEG digital video channel) can support another IP user. If so, the channel resource manager assigns a packet ID (PID) to the new user (settop 524). If no PID is available on the requested channel, the channel resource manager assigns a default PID of "FFFF" which indicates a "busy" condition. The channel resource manager 511 updates the resource table 538 in the IP gateway 513.

## CHANNEL TRACKING

In order to grant a channel change request, the channel resource manager 511 returns a Channel Resource Confirmation message 536 to the out-of-band controller 525, which transmits the Channel Resource Confirmation message to the settop 524 in the out-of-band region of the CATV spectrum. Table 2 illustrates the format of the Channel Resource Confirmation message. The settop box responds to the Channel Resource Confirmation message 535 by selecting the new PID as the new source of IP packets over MPEG data packets. If the new PID is FFFF, then the requested channel was "busy". In response to a busy signal, the settop box 524 uses the out-of-band channel

to receive IP data packets using DVB Multi-Protocol Encapsulation.

The two types of Internet connection are illustrated in figure 5 (lower half of drawing). In the upstream direction, HTTP data packets 540 are forwarded to the out-of-band controller 525, and further transmitted 541 to the proxy server 504 which is coupled 552 to the Internet 502. In the downstream direction 553, HTTP data packets 551 are forwarded from the proxy server 504 to the IP gateway 513. In order to forward the HTTP data packets to the settop box 524, the IP gateway 513 looks up the appropriate connection information in the channel resource table stored in the IP gateway 513.

If the setup box 524 has a current (active) valid packet ID assigned it in the current 6 MHz video channel, the IP gateway 513 forwards the HTTP downstream message 544 to the MPEG multiplexer 516. The MPEG multiplexer 516 then formats the HTTP message as IP over MPEG 546 (in-band) to the settop box 524. If, on the other hand, no current (active) valid packet ID has been assigned to the settop box 524, (for the currently viewed 6 MHz video channel), the channel resource table may indicate that an out-of-band IP connection session is established. If so, then the IP gateway 513 forwards the HTTP downstream message 542 to the out-of-band controller 524. Now, the Internet protocol packets are formatted as out-of-band messages 550 to the settop 524.

An overview of sequence of operations is illustrated in figures 6A through 6D. In figure 6A, the TV program 610A is transmitted in-band to the viewer. Upon channel change, a Channel Resource Request 612A is sent from the settop box using the out-of-band upstream spectrum. In figure 6B, the headend responds by sending a Channel Resource Confirm 614B to the settop box.

If the requested channel change could accommodate an additional user of IP over MPEG data packets, then both the TV program 610C and the IP packets

616C are both transmitted in-band as shown in figures 6C. If, on the other hand the requested channel change was busy and could not accommodate additional IP over campaign data packets, then the IP data packets 618D are transmitted out-of-band as shown in figure 6D.

For each channel change, the communication protocol process in figures 6A through 6D is repeated. Upstream communication channels from the settop to the headend are always out-of-band. Downstream communication channels from the headend to the settop are selected by the headend to be either out-of-band or in-band. The headend selects a downstream communication channel for the settop (responsive to a request from the settop) based on the video channel being watched by the viewer and the communication traffic load on the CATV system.